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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Jay M. Short

Confirmation No.: 2110

Serial No.: 09/663,620

Filed: September 15, 2000

For: Combinatorial Screening of Mixed Populations of Organisms

Examiner: Nashaat T. Nashed

Group Art Unit: 1652

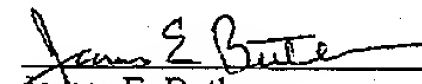
Atty Docket No: 1140-3

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Statement Under 37 C.F.R. 3.73(b)	1

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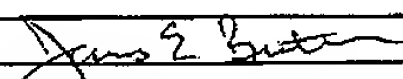
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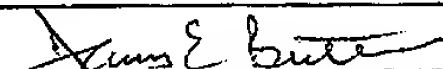
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/663,620	
	Filing Date	September 15, 2000	
	First Named Inventor	Short	
	Art Unit	1652	
	Examiner Name	Nashed	
Total Number of Pages in This Submission	4	Attorney Docket Number	1140-3

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Firm or Individual name	James E. Butler	
Signature		
Date	January 16, 2004	

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AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	09/663,620
Filing Date	September 15, 2000
First Named Inventor	Short
Art Unit	1652
Examiner Name	Nashed
Attorney Docket Number	1140-3

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

29062

☒ Please change the correspondence address for the above-identified application to:☒ The address associated with  
Customer Number:

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OR

☐ Firm or  
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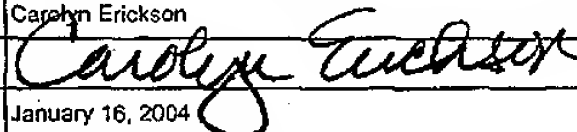
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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Name Carolyn Erickson

Signature 

Date January 16, 2004

Telephone

(858) 526-5104

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Jay M. Short  
Application No./Patent No.: 09/663,620  
Filed/Issue Date: September 15, 2000  
Entitled: Combinatorial Screening of Mixed Populations of Organisms

Diversa Corporation, a corporation, states that it is:

1. ☒ the assignee of the entire right, title and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership is

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- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011740, Frame 0989, or for which a copy thereof is attached

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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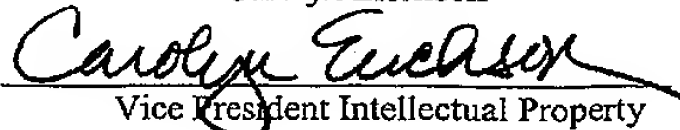
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[NOTE: A separate copy (i.e., the original document or a true copy of the original document) must be submitted to the Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date: January 16, 2004

Telephone: (858) 526-5104

Carolyn Erickson

  
Vice President Intellectual Property